Legal Department, DL429
Intellectual Property Administration
P. Q. Box 7599

Loveland, Colorado 80537-0599

ATTORNEY DOCKET NO. 10010632-3

## IN THE

#### UNITED STATES PATENT AND TRADEMARK OFFICE

entor(s): Fischer

Serial No.: 10/611,409

Examiner: Unassigned

Filing Date: Jui

June 30, 2003

Group Art Unit: N/A

Title:

METHOD OF DNA SEQUENCING USING CLEAVABLE TAGS

## COMMISSIONER FOR PATENTS

Washington, D.C. 20231

#### INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

(X) under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
( ) under 37 CFR 1.97(c) together with either a:
( ) Statement under 37 CFR 1.97(e), or
( ) a \$180.00 Processing fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
( ) under 37 CFR 1.97 (d) together with a:
( ) Statement under 37 CFR 1.97(e), and
( ) a \$180.00 processing fee under 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Please charge to Deposit Account **50-1078** the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **50-1078** pursuant to 37 CFR 1.25.

- (x) Applicant(s) submit herewith Form PTO 1449. All the references identified herein were disclosed in Patent Application No. 09/896,299 filed 06/29/2001 , now U. S. Patent No. \_\_\_\_\_\_, and, as such, copies thereof are not included pursuant to the provisions of 37 CFR 1.98(d).
- ( ) A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. EV333999282US

Date of Deposit 08-14-2003

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Washington, D.C.

yed Name: Donna Macedo

Respectfully submitted,

**Fischer** 

Bret Fi ld for Timothy Joyc

Attorney/Agent for Applicant(s)

Reg. No. 37,620

Date: 08-14-2003

Telephone No.: (650) 485-4310



## TENT APPLICATION

								She	eet 1 of	2	
FOI	RM PT	0-1449			T	ATTY. DOCKET NO.		- 1	SERIAL N		
		PATENTS AND P	IRI TCATTONS	FOR	ŀ	10010632-1 APPLICANT			09/896	,299	
		NT'S INFORMAT	ON DECLOS		l	Fischer, S.					
					T	FILING DATE		7	GROUP		
	(Us	e several sheets	f necessary)		June 29, 2001						
					ATENT DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER	DATE			NAME		С	LASS		UB ASS
	1A	4,971,903	Nov 20, 19	90 H	ym	an		43	35	6	
	1B	5,302,509	April 12, 19	994 CI	hee	seman		43	35	6	
	1C	6,027,890	Feb 22, 20	00 N	ess	et al.		43	35	6	
	1D										
	1E										
	1F					<del></del>					
	1G										
	1H										
	11										
	13										
	1K										
				FOREIG	N F	PATENT DOCUMENT	s				
		DOCUMENT NUMBER	DAT	E		NAME	С	LASS	SUB		SLATIO
	1L	WO 98/13523	2 April 1	000		n, P			CLASS	YES	NO
	1M	WO 98/28440	<del></del>			n, P.					
	1N	WO 99/66313	<u> </u>		_	riid, P.			<del> </del>	<del>}</del>	<b> </b> -
	10	WO 00/40750				ors, et al.			<del> </del>	<del> </del>	
	1P	WO 00/43540				ghi, M.			<del> </del>	<del> </del>	
	(	110 00/13310	27 July .	2000 / 1	J110	gin, 11.			L	<u> </u>	<u> </u>
		OTHER REFI	ERENCES (I	ncluding A	uth	or, Title, Date, Perti	nent Pa	ges, o	etc.)		
	1Q	Prober, et al., Dideoxynucleo	"A System f tides" Scien	or Rapid DN ce, 238: 33	A S 6-3	Gequencing with Fluore 41, 1987.	escent C	hain-T	'erminati	ng	
	1R	Sanger, et al., 74(12): 5463-	"DNA Sequi 5467, 1977	encing with	Ch	ain-Terminating Inhibito	ors", Pro	c. Nat	tl. Acad.	Sci. U	SA,
	15							-			
EXAMIN	ER					DATE CONSIDERED					



# ATENT APPLICATION

Sheet 2 of 2

				TY. DOCKET NO.		RIAL NO			
FORM PTO-				003309-0014	0	9/896,2	299		
LIST OF PA	TENTS AND PUBL 'S INFORMATION	ECATIONS FOR	APPLICANT						
APPLICANI	STATEME	NT	F	ischer, S. ING DATE	T G	ROUP			
(I lea	several sheets if n	ecessary)							
				June 29, 2001					
REFERENCE DE	SIGNATION	U.S. PA1	TENT DO	CUMENTS		<del></del>		SUB	
EXAMINER INITIAL	DOCUMENT NUMBER	DATE		NAME	CL	ASS	CLA		
2A									
2B									
2C			ļ						
2D			<u> </u>						
2E									
2F			<u> </u>						
2G			<u> </u>						
2H									
21									
23									
2K									
	DOCUMENT NUMBER	DATE		NAME	CLASS	SUB CLASS	TRAN	NO	
2L	WO 00/56455	28 Sept 2000	Hagerlid	, et al.			<u> </u>		
2M	·					ļ		ļ	
2N						ļ		<u> </u>	
20							<u> </u>	1	
2P								<u></u>	
	OTHER REFE	RENCES (includin	ng Author	, Title, Date, Pertin	ent Pages,	etc.)			
2Q			· · · · · ·						
2R									
I				·					
25									
25									



FORM PTO-892			RTMENT OF COMMERCE ND TRADEMARK OFFICE	SERIAL NO. 09/896,299	GROUP ART UNIT	ATTACHM TO PAPER			
		NOTICE OF	RECEDE	NCES CITED	APPLICANT(S)	1637	<u> </u>	·	
		NOTICE OF	NEI ENE	INCLS CITED	A CLOSATION	Fische	r		
_		·		U.S. PATENT D	OCUMENTS		<u> </u>		
*	Ī	DOCUMENT NO	DAT			GLASS	SUB- CLASS	FILI DA	NG
_	_	DOCUMENT NO.			AME	CLASS		DA	TE
	A	5,552,278	9/19	96 Bre	enner	435	6		
	В								
	C						<del></del>		
	D E		_				<del> </del>		
	F				*	_			
	G								<del></del>
	Н								
	<del>                                     </del>								
	J								
	К		_						
	l	<u>L,</u>		FOREIGN PATENT	DOCUMENTS				
*		DOCUMENT NO.	DAT			NAME	CLASS	SUI	 B- SS
	L		_						
	М								-
	N								
	0								
	Р								
	Q								
		ОТІ	HER REFE	RENCES (Including Autho	or, Title, Date, Perti	nent Pages, Etc	c.)		
	R								
	<u> </u>	-		***************************************		·			
	s								
						· · · · · · · · · · · · · · · · · · ·			<del></del>
	Т	•							
	υ						<u> </u>		
								<b>.</b>	
ΕX	AMIN	ER Tung	, ,	June 17, 2002			_		<b>.</b>
		<u> </u>	* A copy of	this reference is not being	   furnished with this	office action	Fo	rm892ccs	:21066
				nual of Patent Examining I					

Application/Control No.	otice of References Cited	Examiner	Art Unit	sner
		Application/Control No.		

U.	S.	PA.	<b>TENT</b>	DOC	<b>JMENTS</b>	

	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Cla	ssification <sup>2</sup>
A	2002/0040873	4/2002	Wahlberg et al.	210	635
8					
c					
D					
E					
F					
G					
н					
J					
К					
L			,		
М					

#### FOREIGN PATENT DOCUMENTS

	Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification <sup>2</sup>
N					
0					
Р					
a					
R			.,		
8					
т				7784 - 718 (71 - 24 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	

### NON-PATENT DOCUMENTS

$\Box$	Include, as applicable: Author, Title, Date, Publisher, Edition or Volume, Pertinent Pages
U	
v	
w	
x	

Page 1 of 1

U. S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 7

<sup>\*</sup> A copy of this reference is not being furnished with this Office action. See MPEP \$ 707.05(a).

<sup>&</sup>lt;sup>2</sup> Classifications may be U.S. or foreign.